



01/10/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney  
Docket No.

971003B

Total  
Pages

First Named Inventor or Application Identifier

Hideki MATSUMURA, Akira IZUMI, Atsushi MASUI,  
Yasunobu NASHIMOTO, Yosuke MIYOSHI, Shuji  
NOMURA, Kazuo SAKURAI, Shouichi AOSHIMA

Express Mail Label No.

APPLICATION ELEMENTS FOR:  
**METHOD AND APPARATUS FOR DEPOSITING A  
THIN FILM, AND SEMICONDUCTOR DEVICE  
HAVING A SEMICONDUCTOR-INSULATOR  
JUNCTION**

ADDRESS TO: Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [13]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [5]
4. ☒ Oath or Declaration Total Pages [2]
  - a. [ ] Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
    - i. [ ] Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under box 4b, is considered as being part of the disclosure of the accompanying application  
and is incorporated by reference therein.
6. [ ] Microfiche Computer Program (Appendix)
7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. [ ] Assignment Papers to Hideki MATSUMURA, NEC CORPORATION and ANELVA CORPORATION  
in the prior application Serial No. 08/924,304 filed on September 5, 1997 has been recorded at Reel 8790,  
Frame 0668 on September 5, 1997. **Please publish the assignee data with the application.**
9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

**UTILITY PATENT  
APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**971003B**

First Named Inventor or Application Identifier

**Hideki MATSUMURA, Akira IZUMI, Atsushi MASUDA,  
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NOMURA, Kazuo SAKURAI, Shouichi AOSHIMA**

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☒ PTO-1449 Form

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of Japanese application no's. 8-257675 filed on September 6, 1996 is claimed under 35 USC 119.  
The certified copies/copy have/has been filed in prior application Serial No. 08/924,304.  
(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/102,665

a. ☒ Please amend the specification by inserting after the title: --This application is a divisional  
of prior application Serial No. 09/102,665 filed on June 23, 1998, which is a divisional of  
prior application serial No. 08/924,304 on September 5, 1997.

b. ☒ Cancel in the application original claims 1-17 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	2 - 20	0	x \$18.00	\$0.00
Independent Claims	1 - 3	0	x \$84.00	\$0.00
Multiple Dependent Claims			\$280.00	\$
Basic Filing Fee				<b>740.00</b>
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$0.00
<b>TOTAL</b>				<b>740.00</b>

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	Hideki MATSUMURA, Akira IZUMI, Atsushi MASUDA, Yasunobu NASHIMOTO, Yosuke MIYOSHI, Shuji NOMURA, Kazuo SAKURAI, Shouichi AOSHIMA	
	PAGE 3 OF 3	

- ☒ A check in the amount of \$740.00 is enclosed to cover the filing fee.
- ☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.
- ☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. **CORRESPONDENCE ADDRESS:**



23850

PATENT TRADEMARK OFFICE

**SUBMITTED BY**

Typed or Printed Name: **Sadao Kinashi**

Reg. No. **48,075**

Signature

*Sadao Kinashi*

Date: **January 10, 2002**

SK/ak

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